

UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

SERGEI PORTNOY,

Plaintiff,

v.

YOLO COUNTY SUPERIOR COURT,

Defendant.

No. 2:20-cv-02486 JAM AC

ORDER AND FINDINGS AND
RECOMMENDATIONS

Plaintiff¹, proceeding pro se, seeks leave to proceed in forma pauperis pursuant to U.S.C. § 1915. ECF No. 2. Plaintiff's application to proceed in forma pauperis makes the necessary showing that he is unable to afford the cost of suit and will be granted. For the reasons set forth below, however, this court lacks jurisdiction to hear this case. Accordingly, it is recommended that the complaint be dismissed without leave to amend.

I. LEGAL STANDARDS FOR SCREENING PLAINTIFF'S COMPLAINT

The federal in forma pauperis (IFP) statute requires federal courts to dismiss a case if the action is legally "frivolous or malicious," fails to state a claim upon which relief may be granted, or seeks monetary relief from a defendant who is immune from such relief. 28 U.S.C. §

¹ The court notes that plaintiff has been declared a vexatious litigant in this jurisdiction as stated in Portnoy v. Veolia Transportation Services, Inc., et al., 2:13-cv-00043-MCE EFB (July 22, 2014). The filing bar described in that case applies to defendants not involved in this case.

1 1915(e)(2). A claim is legally frivolous when it lacks an arguable basis either in law or in fact.
2 Neitzke v. Williams, 490 U.S. 319, 325 (1989). In reviewing a complaint under this standard, the
3 court will (1) accept as true all of the factual allegations contained in the complaint, unless they
4 are clearly baseless or fanciful, (2) construe those allegations in the light most favorable to the
5 plaintiff, and (3) resolve all doubts in the plaintiff's favor. See Neitzke, 490 U.S. at 327; Von
6 Saher v. Norton Simon Museum of Art at Pasadena, 592 F.3d 954, 960 (9th Cir. 2010), cert.
7 denied, 564 U.S. 1037 (2011).

8 The court applies the same rules of construction in determining whether the complaint
9 states a claim on which relief can be granted. Erickson v. Pardus, 551 U.S. 89, 94 (2007) (court
10 must accept the allegations as true); Scheuer v. Rhodes, 416 U.S. 232, 236 (1974) (court must
11 construe the complaint in the light most favorable to the plaintiff). Pro se pleadings are held to a
12 less stringent standard than those drafted by lawyers. Haines v. Kerner, 404 U.S. 519, 520
13 (1972). However, the court need not accept as true conclusory allegations, unreasonable
14 inferences, or unwarranted deductions of fact. Western Mining Council v. Watt, 643 F.2d 618,
15 624 (9th Cir. 1981). A formulaic recitation of the elements of a cause of action does not suffice
16 to state a claim. Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555-57 (2007); Ashcroft v. Iqbal,
17 556 U.S. 662, 678 (2009).

18 To state a claim on which relief may be granted, the plaintiff must allege enough facts "to
19 state a claim to relief that is plausible on its face." Twombly, 550 U.S. at 570. "A claim has
20 facial plausibility when the plaintiff pleads factual content that allows the court to draw the
21 reasonable inference that the defendant is liable for the misconduct alleged." Iqbal, 556 U.S. at
22 678. A pro se litigant is entitled to notice of the deficiencies in the complaint and an opportunity
23 to amend, unless the complaint's deficiencies could not be cured by amendment. See Noll v.
24 Carlson, 809 F.2d 1446, 1448 (9th Cir. 1987), superseded on other grounds by statute as stated in
25 Lopez v. Smith, 203 F.3d 1122 (9th Cir. 2000)) (en banc).

26 II. PLAINTIFF'S ALLEGATIONS

27 Plaintiff sues Yolo County Superior Court for the "determination of void tentative ruling
28 and judgment due to fraud upon the court." ECF No. 1 at 1. Plaintiff filed a case with Yolo

1 County Superior Court on June 2, 2016. *Id.* at 2. The Superior Court judge allegedly granted a
2 motion to dismiss by filing a “false tentative ruling.” *Id.* On July 30, 2018, plaintiff filed a
3 motion to void the ruling, which was denied. *Id.* at 9. Plaintiff asks this court to void the ruling
4 of the Yolo Superior Court and declare several officers of that court “TRESPASSERS of the law
5 and CROOKS.” *Id.* at 12.

III. SCREENING OF PLAINTIFF'S COMPLAINT

The court cannot hear this case because it is barred from doing so either by the doctrine of
Younger abstention, which prevents federal courts from interfering in most ongoing state court
cases (Younger v. Harris, 401 U.S. 37, 43–54 (1971)), or by the Rooker-Feldman doctrine, which
prohibits a federal court from overturning state court rulings. The complaint is vague as to
whether plaintiff’s Yolo County case is ongoing or not, but in either case, this court has no
jurisdiction to interfere.

13 Younger abstention applies to the following “three exceptional categories” of cases
14 identified in New Orleans Public Service, Inc. v. Council of New Orleans, 491 U.S. 350, 367-68
15 (1989): “(1) ‘parallel, pending state criminal proceedings,’ (2) ‘state civil proceedings that are
16 akin to criminal prosecutions,’ and (3) state civil proceedings that ‘implicate a State’s interest in
17 enforcing the orders and judgments of its courts.’” ReadyLink Healthcare, Inc. v. State Comp.
18 Ins. Fund, 754 F.3d 754, 759 (9th Cir. 2014) (quoting Sprint Communications, Inc. v. Jacobs, 571
19 U.S. 69, 81 (2013)). “The Ninth Circuit also requires that “[t]he requested relief must seek to
20 enjoin—or have the practical effect of enjoining—ongoing state proceedings.” Id. (quoting
21 AmerisourceBergen Corp. v. Roden, 495 F.3d 1143, 1149 (9th Cir. 2007)).

22 The undersigned believes based on the contents of the complaint that plaintiff's state court
23 case is likely closed. However, if plaintiff's case is ongoing, the doctrine of Younger abstention
24 prevents this courts interference. First, plaintiff is plainly asking the court to undermine a state
25 court's order, which clearly implicates the State's interest in enforcing the orders of its courts.
26 Second, the pending state action necessarily implicates the important state interest of enforcing its
27 court's rulings. Third, no reason exists that would bar the state court from addressing plaintiff's
28 concerns. Indeed, it appears that it already has done so on multiple occasions—albeit not to

1 petitioner's liking. And finally, plaintiff's requested relief, which includes a declaration that the
2 state court's orders are void, would effectively enjoin any pending state court proceedings.
3 Accordingly, to the extent if any that state court proceedings are ongoing or not final, this court
4 finds that Younger abstention applies. This court is therefore divested of jurisdiction to hear any
5 part of this action. Columbia Basin Apartment Ass'n, 268 F.3d at 799.

6 On the other hand, if plaintiff's state court case is closed, the Rooker-Feldman doctrine
7 divests this court of jurisdiction. The Rooker-Feldman doctrine prohibits federal district courts
8 from hearing cases "brought by state-court losers complaining of injuries caused by state-court
9 judgments rendered before the district court proceedings commenced and inviting district court
10 review and rejection of those judgments." Exxon Mobil Corp. v. Saudi Basic Indus. Corp., 544
11 U.S. 280, 284 (2005). To determine if the Rooker-Feldman doctrine bars a case, the court must
12 first determine if the federal action contains a forbidden de facto appeal of a state court judicial
13 decision. Noel v. Hall, 341 F.3d 1148, 1156 (9th Cir. 2003). If it does not, "the Rooker-Feldman
14 inquiry ends." Bell v. City of Boise, 709 F.3d 890, 897 (9th Cir. 2013). If a court determines that
15 the action is a "forbidden de facto appeal," however, the court cannot hear the de facto appeal
16 portion of the case and, [a]s part of that refusal, it must also refuse to decide any issue raised in
17 the suit that is 'inextricably intertwined' with an issue resolved by the state court in its judicial
18 decision." Noel, 341 F.3d at 1158; see also Bell, 709 F.3d at 897 ("The 'inextricably intertwined'
19 language from Feldman is not a test to determine whether a claim is a de facto appeal, but is
20 rather a second and distinct step in the Rooker-Feldman analysis."). A complaint is a "de facto
21 appeal" of a state court decision where the plaintiff "complains of a legal wrong allegedly
22 committed by the state court, and seeks relief from the judgment of that court." Noel, 341 F.3d at
23 1163.

24 In seeking a remedy by which this court invalidates a state court decision and amends the
25 state court record, plaintiff is clearly asking this court to "review the final determinations of a
26 state court in judicial proceedings," which is at the core of Rooker-Feldman's prohibition. In re
27 Gruntz, 202 F.3d 1074, 1079 (9th Cir. 2000). Accordingly, plaintiff's action constitutes a
28 "forbidden de facto appeal" and the court lacks subject matter jurisdiction to hear the case. For

1 these reasons, the undersigned recommends the complaint be dismissed.

2 IV. NO LEAVE TO AMEND

3 For the above reasons, this court finds that the allegations of plaintiff's complaint fail to
4 establish federal subject matter jurisdiction, and that these deficiencies cannot be cured by
5 amendment. "A district court may deny leave to amend when amendment would be futile."
6 Hartmann v. CDCR, 707 F.3d 1114, 1130 (9th Cir. 2013).

7 V. CONCLUSION

8 Accordingly, IT IS HEREBY ORDERED that plaintiff's motion to proceed in forma
9 pauperis (ECF No. 2) is granted.

10 IT IS FURTHER RECOMMENDED that this case be dismissed without leave to amend
11 pursuant to the doctrine of Younger abstention and/or the Rooker-Feldman doctrine.

12 These findings and recommendations are submitted to the United States District Judge
13 assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within fourteen days
14 after being served with these findings and recommendations, plaintiff may file written objections
15 with the court. Such a document should be captioned "Objections to Magistrate Judge's Findings
16 and Recommendations." Plaintiff is advised that failure to file objections within the specified
17 time may waive the right to appeal the District Court's order. Martinez v. Ylst, 951 F.2d 1153
18 (9th Cir. 1991).

19 DATED: December 21, 2020

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ALLISON CLAIRE
UNITED STATES MAGISTRATE JUDGE

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